



Holbrook Academy

Exam Contingency Plan 2021/22

Date Approved	Q&M Committee 23 rd November 2021
Signed	M Pawlewski Chair of Q&M Committee
Date of Next Review	Autumn term 2022

This plan is reviewed annually to ensure compliance with current regulations.

Key staff involved in contingency planning

Role	Name(s)
Head of Centre	Mr T Maltby
Assistant Headteacher / Exams Officer line manager	Mr K Newstead
Exams Officer	Mrs T Goldney
Deputy Headteacher and Designated Safeguarding Lead	Mr G Anstee-Parry
Assistant Headteacher and SENCO	Mrs S Watson

Contents

1. Purpose of the plan	4
2. Possible causes of disruption to the exam process	4
2.1 Exam officer extended absence at key points in the exam process (cycle).....	4
2.2 Exam Officer unable to attend the centre on an exam day due to unforeseen circumstances (illness/traffic incident/poor weather etc).....	5
2.3 SENCo extended absence at key points in the exam cycle	5
2.4 Teaching staff extended absence at key points in the exam cycle	5
2.5 Invigilators - lack of appropriately trained invigilators or invigilator absence.....	6
2.6 Exam rooms - lack of appropriate rooms or main venues unavailable at short notice	6
2.7 Failure of IT systems	6
2.8 Emergency evacuation of the exam room (or Centre lock down)	6
2.9 Disruption of teaching time in the weeks before an exam – centre closed for an extended period	7
2.10 Candidates unable to take examinations because of a crisis – centre remains open.....	7
2.11 Centre unable to open as normal during the examination period (including in the event of the centre being unavailable for examinations owing to an unforeseen emergency) .	7
2.12 Disruption in the distribution of examination papers.....	7
2.13 Disruption to the transportation of completed examination scripts	7
2.14 Assessment evidence is not available to be marked.....	8
2.15 Centre unable to distribute results as normal or facilitate post results services (including in the event of the centre being unavailable on results day owing to an unforeseen emergency)	8
3. Further guidance to inform procedures and implement contingency planning	9
3.1 Ofqual.....	9
3.2 JCQ	11
3.3 GOV.UK	12
3.4 National Counter Terrorism Security Office.....	12

1. Purpose of the plan

This plan examines potential risks and issues that could cause disruption to the exams process at Holbrook Academy. By outlining actions/procedures to be invoked in case of disruption it is intended to mitigate the impact these disruptions have on our exam process.

Alongside internal processes, this plan is informed by the **Ofqual** Exam system contingency plan: England, Wales and Northern Ireland which provides guidance in the publication 'What schools and colleges and other centres should do if exams or other assessments are seriously disrupted' and the **JCQ** Joint Contingency Plan in the event of widespread disruption to the Examination System in England, Wales and Northern Ireland.

This plan also confirms Holbrook Academy is compliant with the JCQ regulation that the centre has in place a written examination contingency plan which covers all aspects of examination administration. This will allow members of the senior leadership team to act immediately in the event of an emergency or staff absence. The examination contingency plan should reinforce procedures in the event of the centre being unavailable for examinations, or on results day, owing to an unforeseen emergency.

The national contingency arrangements for Summer 2022 will use the days up to and including 29th June to accommodate any examinations or assessments affected by severe national disruption.

This document is to be used in conjunction with the Holbrook Academy Exams Policy.

2. Possible causes of disruption to the exam process

2.1 Exam officer extended absence at key points in the exam process (cycle)

Criteria for implementation of the plan

Key tasks required in the management and administration of the exam cycle not undertaken including:

Planning

- annual data collection exercise not undertaken to collate information on qualifications and awarding body specifications being delivered
- annual exams plan not produced identifying essential key tasks, key dates and deadlines
- sufficient invigilators not recruited

Entries

- awarding bodies not being informed of early/estimated entries which prompts release of early information required by teaching staff
- candidates not being entered with awarding bodies for external exams/assessment
- awarding body entry deadlines missed or late or other penalty fees being incurred

Pre-exams

- invigilators not trained or updated on changes to instructions for conducting exams
- exam timetabling, rooming allocation; and invigilation schedules not prepared
- candidates not briefed on exam timetables and awarding body information for candidates
- confidential exam/assessment materials and candidates' work not stored under required secure conditions
- internal assessment marks and samples of candidates' work not submitted to awarding bodies/external moderators

Exam time

- exams/assessments not taken under the conditions prescribed by awarding bodies
- required reports/requests not submitted to awarding bodies during exam/assessment periods, for example very late arrival, suspected malpractice, special consideration
- candidates' scripts not dispatched as required for marking to awarding bodies

Results and post-results

- access to examination results affecting the distribution of results to candidates
- the facilitation of the post-results services

Centre actions to mitigate the impact of the disruption

- a deputy to be appointed by the Head of Centre to assume responsibility for the above tasks with the support of members of the Senior Leadership Team.
- Reference to be made to the Annual Exams Plan.
- Contact neighbouring high schools to request Exams Officer support where appropriate.
- Contact awarding bodies for support as appropriate.

2.2 Exam Officer unable to attend the centre on an exam day due to unforeseen circumstances (illness/traffic incident/poor weather etc)**Criteria for implementation of the plan**

Exam Officer not able to implement exam procedures, liaise with invigilators, remove papers from secure store, pack and dispatch scripts

Centre actions to mitigate the impact of the disruption

- Assistant Headteacher (Outcomes) to remove papers from secure store and liaise with invigilators.
- Identify and train invigilators who are able to take on this responsibility

2.3 SENCo extended absence at key points in the exam cycle**Criteria for implementation of the plan**

Key tasks required in the management and administration of the access arrangements process within the exam cycle not undertaken including:

Planning

- candidates not tested/assessed to identify potential access arrangement requirements
- centre fails to recognise its duties towards disabled candidates as defined under the terms of the Equality Act 2010
- evidence of need and evidence to support normal way of working not collated

Pre-exams

- approval for access arrangements not applied for to the awarding body
- centre-delegated arrangements not put in place
- modified paper requirements not identified in a timely manner to enable ordering to meet external deadline
- staff (facilitators) providing support to access arrangement candidates not allocated and trained

Exam time

- access arrangement candidate support not arranged for exam rooms

Centre actions to mitigate the impact of the disruption

- Examinations Officer to work with SLT and Assistant SENCo to identify students where applications for access arrangements may be required.
- Examinations Officer to work with SLT and Assistant SENCo to ensure arrangements are in place for the exam period so that no student is disadvantaged.

2.4 Teaching staff extended absence at key points in the exam cycle**Criteria for implementation of the plan**

Key tasks not undertaken including:

- Early/estimated entry information not provided to the exams officer on time; resulting in pre-release information not being received
- Final entry information not provided to the exams officer on time; resulting in candidates not being entered for exams/assessments or being entered late/late or other penalty fees being charged by awarding bodies
- Non-examination assessment tasks not set/issued/taken by candidates as scheduled
- Candidates not being informed of centre assessed marks before marks are submitted to the awarding body and therefore not being able to consider appealing internal assessment decisions and requesting a review of the centre's marking

- Internal assessment marks and candidates' work not provided to meet awarding body submission deadlines

Centre actions to mitigate the impact of the disruption

- Other subject staff to assume marking workload and prioritising of assessments for external award, to be directed by the relevant Head of Faculty.
- Examinations Officer to ensure all necessary deadlines are adhered to. Where this is not possible, the Exams Officer will liaise with the relevant Awarding Body and act upon advice received.

2.5 Invigilators - lack of appropriately trained invigilators or invigilator absence

Criteria for implementation of the plan

- Failure to recruit and train sufficient invigilators to conduct exams
- Invigilator shortage on peak exam days
- Invigilator absence on the day of an exam

Centre actions to mitigate the impact of the disruption

- Teaching and support staff to receive invigilator training so they can cover if they are available
- In the event of no available teaching staff, the Examinations Officer will be used as a backup.

2.6 Exam rooms - lack of appropriate rooms or main venues unavailable at short notice

Criteria for implementation of the plan

- Exams officer unable to identify sufficient/appropriate rooms during exams timetable planning
- Insufficient rooms available on peak exam days
- Main exam venues unavailable due to an unexpected incident at exam time

Centre actions to mitigate the impact of the disruption

- Insufficient rooms - Exam Officer and Assistant Headteacher (Outcomes) will work together to identify other suitable spaces as required.
- If the main exam venue is unavailable due to an unexpected incident, local schools will be approached. Regulations on alternative venues will be followed.

2.7 Failure of IT systems

Criteria for implementation of the plan

- MIS system failure at final entry deadline
- MIS system failure during exams preparation
- MIS system failure at results release time

Centre actions to mitigate the impact of the disruption

- Examinations Officer to make entries via awarding body websites. Exams Officer to liaise with the Awarding Bodies to minimise disruption and costs incurred.
- Exam Manager will ensure exam preparations are completed with other available resources e.g. hand drawing a seating plan.
- Results to be accessed direct from the Awarding Bodies.

2.8 Emergency evacuation of the exam room (or Centre lock down)

Criteria for implementation of the plan

Whole centre evacuation (or lock down) during exam time due to serious incident resulting in exam candidates being unable to start, proceed with or complete their exams

Centre actions to mitigate the impact of the disruption

- All authorised Exam staff will head to the Exam Hall immediately to make sure all candidates leave the hall in the safest and quickest way. A sufficient number of staff will ensure the exam conditions are maintained at all times until exam can be re-started.
- Exams Officer will then contact the relevant awarding body regarding the incident.

- In the event that the exam cannot proceed or be completed due to the serious incident, Exam Officer will contact the awarding body for further instructions.
- Special consideration will be applied for as appropriate.
- Lockdown policy is being ratified

2.9 Disruption of teaching time in the weeks before an exam – centre closed for an extended period

Criteria for implementation of the plan

Centre closed or candidates are unable to attend for an extended period during normal teaching or study supported time, interrupting the provision of normal teaching and learning

Centre actions to mitigate the impact of the disruption

- The Academy will keep parents and students informed with the potential for disruption to teaching time and plans to address this.
- The academy will seek advice from awarding bodies.

2.10 Candidates unable to take examinations because of a crisis – centre remains open

Criteria for implementation of the plan

Candidates are unable to attend the examination centre to take examinations as normal

Centre actions to mitigate the impact of the disruption

- Awarding bodies will be contacted by the Exams Officer at the outset to make them aware of the issue.
- If, for example, the candidate is in hospital or is unable to attend school due to illness/injury procedures will be put in place for an alternative venue (following JCQ regulations).
- If, for example, candidates will be delayed due to a traffic incident steps will be taken to ensure students do not have access to electronic devices, radio, contact with others who may have sat the exam in order to allow candidate to take the exam when they arrive at school. The Exams Officer and Assistant Headteacher (Outcomes) will work together to ensure no student is disadvantaged.

2.11 Centre unable to open as normal during the examination period (including in the event of the centre being unavailable for examinations owing to an unforeseen emergency)

Criteria for implementation of the plan

Centre unable to open as normal for scheduled examinations

Centre actions to mitigate the impact of the disruption

- Exams Officer to inform all awarding bodies.
- Seek support from other local schools and use their exam venue as our emergency exam venue if possible.

2.12 Disruption in the distribution of examination papers

Criteria for implementation of the plan

Disruption to the distribution of examination papers to the centre in advance of examinations

Centre actions to mitigate the impact of the disruption

- Awarding bodies will provide the centre with electronic access to examination papers via a secure external network. Copies will be received and made by the Exams Officer and stored under secure conditions. Awarding bodies will guidance on the conduct of examinations in such circumstances
- As a last resort, and in close collaboration with centres and regulators, awarding bodies will consider scheduling of the examination on an alternative date

2.13 Disruption to the transportation of completed examination scripts

Criteria for implementation of the plan

Delay in normal collection arrangements for completed examination scripts/assessment evidence

Centre actions to mitigate the impact of the disruption

- Where examinations are part of the national 'yellow label' service or where awarding bodies arrange collections, the centre will seek advice from the awarding body. The centre should not make their own arrangements for transportation unless told to do so by the awarding bodies.

- For any examinations where the centre makes its own arrangements for transportation, alternative dispatch options that comply with the requirements detailed in the JCQ Instructions for Conducting Examinations will be investigated.
- The centre will ensure secure storage of completed examination papers until collection.

2.14 Assessment evidence is not available to be marked

Criteria for implementation of the plan

- Large scale damage to or destruction of completed examination scripts/assessment evidence before it can be marked
- Completed examination scripts/assessment evidence does not reach awarding organisations

Centre actions to mitigate the impact of the disruption

- Awarding bodies to generate candidate marks for affected assessments based on other appropriate evidence of candidate achievement as defined by the awarding bodies
- Where marks cannot be generated by awarding organisations candidates may need to retake affected assessment in a subsequent assessment series.
- Exams Officer to work with awarding bodies to ensure that no student is disadvantaged.

2.15 Centre unable to distribute results as normal or facilitate post results services (including in the event of the centre being unavailable on results day owing to an unforeseen emergency)

Criteria for implementation of the plan

Centre is unable to access or manage the distribution of results to candidates, or to facilitate post-results services

Centre actions to mitigate the impact of the disruption

Distribution of results:

- Exams Officer will make arrangements to access results from home or at an alternative site.
- Arrangements will be made to distribute results at an alternative site and parents/candidates will be contacted with details. The Exams Officer to investigate emailing results direct to candidates.

Facilitation of post results services:

- The centre will make arrangements to coordinate access to post results services from an alternative site or via email communication.
- The centre will share facilities with other centres if this is possible, in agreement with the relevant awarding bodies.
- The Exams Officer to contact awarding bodies if electronic requests for post results services are not possible.

3. Further guidance to inform procedures and implement contingency planning

3.1 Ofqual

What schools and colleges and other centres should do if exams or other assessments are seriously disrupted.

Contingency planning

You should prepare for possible disruption to exams and other assessments and make sure staff are aware of these plans.

Covid specific guidance

When drafting contingency plans, you should consider the following guidance:

- [vocational, technical and other general qualifications in 2022](#) from Ofqual (regulator)
- [GCSE, AS and A level qualifications in 2022](#) from Ofqual (regulator)
- [education and childcare: coronavirus](#) from Welsh Government
- [Qualifications Wales homepage](#) from Qualifications Wales (regulator)
- [coronavirus \(COVID-19\): guidance for school and educational settings in Northern Ireland](#) from Department of Education in Northern Ireland

General contingency guidance

- [emergency planning and response](#) from the Department for Education in England
- [school organisation: local-authority-maintained schools](#) from the Department for Education in England
- [bomb threats](#) procedures for handling bomb threats from the National Counter Terrorism Security Office

Disruption to assessments or exams

In the absence of any instruction from the relevant awarding organisation, you should make sure that any exam or timetabled assessment takes place if it is possible to hold it. This may mean relocating to alternative premises.

You should discuss alternative arrangements with your awarding organisation if:

- the exam or assessment cannot take place
- a student misses an exam or loses their assessment due to an emergency, or other event, outside of the student's control

See also:

- [JCQ Joint Contingency Plan for the Examination System in England, Wales and Northern Ireland](#)

Steps you should take

Exam planning

Review contingency plans well in advance of each exam or assessment series. Consider how, if the contingency plan is invoked, you will comply with the awarding organisation's requirements.

In the event of disruption

- 1) Contact the relevant awarding organisation and follow its instructions.
- 2) Take advice, or follow instructions, from relevant local or national agencies in deciding whether your centre is able to open.
- 3) Identify whether the exam or timetabled assessment can be sat at an alternative venue, in agreement with the relevant awarding organisation, ensuring the secure transportation of questions papers or assessment materials to the alternative venue.
- 4) Where accommodation is limited, prioritise students whose progression will be severely delayed if they do not take their exam or timetabled assessment when planned.
- 5) In the event of an evacuation during an examination please refer to JCQ's '[Centre emergency evacuation procedure](#)'.

- 6) Communicate with parents, carers and students any changes to the exam or assessment timetable or to the venue.
- 7) Communicate with any external assessors or relevant third parties regarding any changes to the exam or assessment timetable.

After the exam

- 1) Consider whether any students' ability to take the assessment or demonstrate their level of attainment has been materially affected and, if so, apply for special consideration.
- 2) Advise students, where appropriate, of the opportunities to take their exam or assessment at a later date.
- 3) Ensure that scripts are stored under secure conditions.
- 4) Return scripts to awarding organisations in line with their instructions. Never make alternative arrangements for the transportation of completed exam scripts, unless told to do so by the awarding organisation.

Steps the awarding organisation should take

Exam planning

- 1) Establish and maintain, and at all times comply with, an up-to-date, written contingency plan.
- 2) Ensure that the arrangements in place with centres and other third parties enable them to deliver and award qualifications in accordance with their conditions of recognition.

In the event of disruption

- Take all reasonable steps to mitigate any adverse effect, in relation to their qualifications, arising from any disruption.
- Provide effective guidance to any of their centres delivering qualifications.
- Ensure that where an assessment must be completed under specified conditions, students complete the assessment under those conditions (other than where any reasonable adjustments or special considerations require alternative conditions).
- Promptly notify the relevant regulators about any event which could have an adverse effect on students, standards or public confidence.
- Coordinate its communications with the relevant regulators where the disruption has an impact on multiple centres or a wide range of learners.

After the exam

Consider any requests for special consideration for affected students. For example, those who may have lost their internally assessed work or whose performance in assessments or exams could have been affected by the disruption.

If any students miss an exam or are disadvantaged by the disruption

If some of the students have been adversely affected by the disruption, you should ask the awarding organisation about applying for special consideration.

Decisions about special consideration, when it is or is not appropriate, is for each awarding organisation to make. Their decisions might be different for different qualifications and for different subjects.

See also:

- [JCQ's guidance on special considerations](#)

Wider communications

The regulator [Ofqual](#) will share timely and accurate information, as required, with awarding organisations, government departments and other stakeholders.

The [Department for Education](#) in England will inform the relevant government ministers as soon as it becomes apparent that there will be significant local or national disruption; and ensure that they are kept updated until the matter is resolved.

Awarding organisations will alert the [Universities and Colleges Admissions Service \(UCAS\)](#) and the [Central Applications Office \(CAO\)](#) about any impact of the disruption on their deadlines and liaise regarding student progression to further and higher education.

Awarding organisations will alert relevant professional bodies or employer groups if the impact of disruption particularly affects them.

Widespread national disruption to the taking of examinations / assessments

The governments' view across England, Wales and Northern Ireland is education should continue in 2020/21 with schools remaining open and that examinations and assessments will go ahead in both autumn 2020 and summer 2021.

As education is devolved, in the event of any widespread sustained national disruption to examinations or assessments, national government departments will communicate with regulators, awarding organisations and centres prior to a public announcement. Regulators will provide advice to government departments on implications for exam timetables.

(Ofqual guidance extract above taken directly from the Exam system contingency plan: England, Wales and Northern Ireland - **What schools and colleges and other centres should do if exams or other assessments are seriously disrupted** (updated 30 September 2021) <https://www.gov.uk/government/publications/exam-system-contingency-plan-england-wales-and-northern-ireland/what-schools-and-colleges-should-do-if-exams-or-other-assessments-are-seriously-disrupted>)

3.2 JCQ

15.1 The qualification regulators, awarding bodies and government departments responsible for education have prepared and agreed information for schools and colleges in the event of examinations being seriously disrupted. This jointly agreed information will ensure consistency of response in the event of major disruption to the examinations system affecting significant numbers of candidates.

Further information may be found at: <https://www.gov.uk/government/publications/exam-system-contingency-plan-england-wales-and-northern-ireland/what-schools-and-colleges-should-do-if-exams-or-other-assessments-are-seriously-disrupted>

15.2 In addition, awarding bodies have their own well-established contingency plans in place to respond to disruptions. It is important that exams officers who are facing disruption liaise directly with the relevant awarding body/bodies.

15.3 Centres should prepare plans for any disruption to examinations as part of their general emergency planning. It is important to ensure that relevant centre staff are familiar with the plan. Consideration should be given as to how these arrangements will be communicated to candidates, parents and staff should disruption to examinations occur.

15.4 In the event that the head of centre decides the centre cannot be opened for scheduled examinations, the relevant awarding body must be informed as soon as possible. Awarding bodies will be able to offer advice regarding the alternative arrangements for conducting examinations that may be available and the options for candidates who have not been able to take scheduled examinations.

15.5 The awarding bodies will designate a 'contingency day' for examinations, summer 2022. This is consistent with the qualification regulators' document *Exam system contingency plan: England, Wales and Northern Ireland*: <https://www.gov.uk/government/publications/exam-system-contingency-plan-england-wales-and-northern-ireland>

The designation of a 'contingency day' within the common examination timetable is in the event of national or significant local disruption to examinations. It is part of the awarding bodies' standard contingency planning for examinations.

In the event of national disruption to a day of examinations in summer 2022, the awarding bodies will liaise with the qualification regulators and government departments to agree the most appropriate option for managing the impact. As a last resort the affected examinations will be rescheduled. Although every effort would be taken to keep the impact to a minimum, it is possible that there could be more than one timetable date affected following the disruption, up to and

including the contingency day. Centres will be alerted if it is agreed to reschedule the examinations and the affected candidates will be expected to make themselves available in such circumstances. The decision regarding the rescheduling of examinations will always rest with the awarding body. The centre must conduct the examination on the scheduled date unless instructed to do otherwise by the awarding body.

Where candidates choose not to be available for the rescheduled examination(s) for reasons other than those traditionally covered by special consideration, they will not be eligible for enhanced grading arrangements. Centres must therefore ensure candidates and parents are aware of this contingency arrangement so that they may take it into account when making their plans for the summer. However, the awarding bodies will not insist upon candidates being available throughout the entire timetable period as a matter of course.

(JCQ guidance above taken directly from **Instructions for Conducting Examinations** 2021-2022 <http://www.jcq.org.uk/exams-office/ice---instructions-for-conducting-examinations>, section 15, Contingency planning)

JCQ Joint Contingency Plan www.jcq.org.uk/exams-office/other-documents

General Regulations for Approved Centres www.jcq.org.uk/exams-office/general-regulations

Guidance notes on alternative site arrangements www.jcq.org.uk/exams-office/online-forms

Guidance notes for transferred candidates www.jcq.org.uk/exams-office/online-forms

Instructions for Conducting Examinations www.jcq.org.uk/exams-office/ice---instructions-for-conducting-examinations

A guide to the special consideration process www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance

3.3 GOV.UK

Emergency planning and response: Severe weather; Exam disruption; Coronavirus (COVID-19) www.gov.uk/guidance/emergencies-and-severe-weather-schools-and-early-years-settings
www.gov.uk/government/publications/school-organisation-maintained-schools

Dispatch of exam scripts guide: Ensuring the service runs smoothly; Contingency planning <https://www.gov.uk/government/publications/dispatch-of-exam-scripts-yellow-label-service>

3.4 National Counter Terrorism Security Office

Guidance - Bomb Threats www.gov.uk/government/publications/crowded-places-guidance/bomb-threats